

Specialist for Pumping Technology

#### Comparison of API 610 12<sup>th</sup> and 11<sup>th</sup> Editions

Simon Smith May 2021





#### **Presenter Profile – Simon Smith**

Simon graduated with an honours degree in Chemical Engineering from the University of Surrey in 1978 and began a long career in the engineered pump industry spanning 40 years (so far!) with Peerless Pump, BW/IP International / Flowserve, SPP Pumps, Ruhrpumpen and Ebara Cryodynamics.

Over his long career he has filled various roles as Applications Engineer / Manager, Project Manager, Key Account Specialist, Vertical Pump Product Specialist, International Sales Engineer / Manager / Director and he has considerable experience in Training & Mentoring young engineers.



# RUHRPUMPEN AT A GLANCE

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#### **MARKETS WE SERVE**

Our commitment to create innovations that offer reliable solutions to our customers allow us to provide a complete range of pump systems to support **core markets** as:



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# Ruhrpumpen offers a broad range of highly engineered and standard pumping products that meet and exceed the requirements of the most demanding quality specifications and industry standards.

Our pumps can handle head requirements as high as 13,000 ft (4,000 m) and capacities up to 300,000 gpm (68,000 m<sup>3</sup>/hr). Moreover, our pump designs cover temperatures from cryogenic temperatures of -310 °F (-196 °C) up to 752 °F (400 °C).

#### **Products include:**

- Single Stage Overhung Pumps
- Between Bearings Pumps
- Vertical Multi-Stage Pumps
- Positive Displacement Pumps
- Full Range of Industrial Pumps
- Submersible Pumps
- Magnetic Drive Pumps
- Decoking Systems
- Packaged Systems
- Fire Systems





#### Synopsis of API 610, 12<sup>th</sup> Edition (Major changes wrt 11<sup>th</sup> Edition)

API 610, the standard specifies requirements for Centrifugal Pumps, including pumps running in reverse as hydraulic power recovery turbines (HPRTs), for use in petroleum, petrochemical, and gas industry process services. Latest 12<sup>th</sup> Edition has just been released in the last week of January, 2021.

Key features and major changes of 12<sup>th</sup> edition, are highlighted in this write up with the objective to support Pump professionals with quick overview who already know about the API 610, 11<sup>th</sup> edition, which is 10 years old. Deliberately, I have avoided to discuss on interpretation, implications and effect of these changes to the OEM or Purchaser. This is not a complete highlight of 12<sup>th</sup> edition. You may not find many fundamental and important recommendations in this article, if those are the same as 11<sup>th</sup> edition. Reference of API section is provided in parenthesis. Of course, this list is selective, not exhaustive.

<u>Acknowledgements</u> Thanks are due to the following who contributed to the content of this presentation. Kirit Domadiya – Sundyne Pumps Simon Bradshaw - CIRCOR



Section	API 610 11 <sup>th</sup> Edition	API 610 12 <sup>th</sup> Edition		
TOC	Total 10 Main sections + Annexures from A to O	Total 10 Main Sections + Annexures from A to O		
Additional annexure	Annex O: API regional annexure	Figure N3: Data list, provide summary of all the field of APIdatasheet with page number reference		
		Annex O: (informative) Special-purpose Centrifugal Pumps.		
Released in	September 2010	January 2021		
Total Pages	205	223		

Acronyms and Abbreviations are provided with around 60 items (Ref. 3.2)

#### Pump Classification (Ref 4.2 Table 1)



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#### **Basic Design & Selection (Ref 6.1)**

No major changes in the 12<sup>th</sup> edition as far as core design features of pump is concerned. Additional requirement focusing on improved equipment reliability are addressed below. Requirement of field proven record and API 691 is introduced

Section	API 610, 11th edition	API 610, 12th edition, different or additional requirement		
		Only equipment that is field proven, as defined by the Purchaser, is acceptable, API 691		
	Shall be designed and	can provide guidance on this.		
Reliability concept	constructed of 20 years and at	In the event no such equipment is available, the vendor shall submit an explanation of		
Reliability concept	least 3 years of uninterrupted	how their proposed equipment can be considered field proven.		
	operation.	The vendor shall advise in the proposal any component designed for a finite life.		
		The purchaser shall specify if equipment will be supplied in accordance with API 691.		
		Additional recommendations: the head values of the pumps at any given flow within the		
Parallel Operation		preferred operating range shall be within 3% of each other for pumps larger than 3 in.		
		(80 mm) discharge.		
		Pumps with a continuously rising head curve are preferred for all applications, but this is		
Curve Shape		not possible with all pump types. Head curve shape is dependent on several factors		
		specific to the pumps hydraulic design.		
End of curve	Not mentioned	The "end of curve flow" is defined as 120% of the BEP flowrate.		
Viscosity	performance corrected in	performance corrected in accordance with ULOC 7. Both the standards are equivalent		
correction	accordance with ISO/TR 17766	performance corrected in accordance with H19.6.7. Both the standards are equivalent.		
Site	Not covered	Drovision for vender to witness site alignment		
Performance	Not covered			



#### **API 691 Extract**

#### 1.1 General

**1.1.1** This recommended practice defines the minimum requirements for the management of health, safety, and environmental (HSE) risks across the machinery life cycle. It shall be applied to the subset of operating company and/or vendor defined high-risk machinery.

**1.1.2** Unless otherwise specified, the following criteria shall be used for initial risk screening to identify potential high-risk machinery for which this recommended practice will be applied:

- a) hazardous gas or liquid services as defined by jurisdiction, appropriate regulatory body, and/or operating company standards or specifications,
- b) services operating at temperatures >350 °F (177 °C) and having design or specified off design operating pressures >80 % maximum allowable working pressure (MAWP),
- c) services operating at temperatures >400 °F (204 °C),
- components and subcomponents having technology readiness levels (TRLs) < 7 whose failure may lead to a loss of containment and/or a loss of functionality that could lead to a potential process safety event (see Table 1),
- e) liquid services operating at pressures in excess of 600 psig (41.4 bar),
- f) liquid services having specific gravities less than 0.5.



#### **API 691 Extract**

It is acknowledged that most operating companies and vendors may have existing risk management processes. This recommended practice is not written to replace or invalidate company practices but is meant to supplement them to provide safe working and living environments for facilities and surrounding communities. Operating companies (i.e. Sections 5, 6, 7, and 8 for design, installation, and operating purposes) or vendors [i.e. in Section 4 for research and development (R&D) and product development purposes] can use their own initial risk screening criteria where these have been found to be effective or the criteria recommended above.

NOTE 1 Typically only between 10% and 20% of machinery falling within any given initial risk screening will be considered API 691 Machinery. This can include a subset of "critical," "unspared," "special purpose," "prototype," and/or worst actor machinery. Risks can include loss of containment of hazardous fluids, loss of functionality, high energy releases, etc.



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Parallel Operation		preferred operating range shall be within 3% of each other for pumps larger than 3 in.		
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Curve Shape		not possible with all pump types. Head curve shape is dependent on several factors		
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End of curve	Not mentioned	The "end of curve flow" is defined as 120% of the BEP flowrate.		
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Site	Not covered	Drovision for vender to witness site alignment		
Performance	Not covered			



#### Accessories (Ref 7)

Accessories	Changes or additional points in 12th edition			
Coupling	Flexible elements shall be non-lubricated metal type of corrosion-resistant material.			
	If specified, major coupling components shall be balanced in accordance with ISO 21940-11, to the balance grade specified by the purchaser. According to 11th edition it is ISO 1940-11, grade G6.3			
Guard	Separate sub-section is available on Guard.			
Coupling Guard	Unless otherwise specified, guards between drivers and driven equipment and between the bearing housing and seal gland shall be supplied and mounted by the vendor with unit responsibility.			
Shaft Guard (New concept)	<ul> <li>Exposed shaft areas including the area between pump bearing housing(s) and mechanical seal(s) shall have a shaft guard.</li> <li>The guard shall meet the following requirements: <ul> <li>a) prevent personnel from contacting moving parts during operation of the pump; allowable opening dimensions shall comply with specified standards, such as EN 953 or ISO <u>14120;</u></li> <li>b) sufficiently vented to prevent the accumulation of seal emissions, liquid, or <u>vapor;</u></li> <li>c) allow visual inspection of the seal without removal of <u>guard;</u></li> <li>d) constructed of steel, stainless steel, brass, or aluminum materials, as <u>suitable;</u></li> <li>e) fabricated from sheet (solid or perforated), plate, expanded metal, or woven wire and securely fastened to the pump.</li> </ul> </li> </ul>			



Accessories	Changes or additional points in 12th edition			
	Single-piece baseplates designed for grouting shall be furnished for horizontal pumps. The purchaser shall specify the type and options as follows: a) Flat deck plate with a sloped gutter drain, b) Sloped full deck plate c) Sloped partial deck plate, d) Open deck version of the above with no deck/top plate e) Non-grouted baseplate of one of the versions above where the baseplate and pedestal support assembly shall be sufficiently rigid to be mounted without a grout fill, f) Non-grouted baseplate as in Item e) with a gimbal mount, three-point mount, anti-vibration mount (AVM) spring mount, or other type of mount.			
Base Plate	Baseplates for OH2 pumps shall have nothing (auxiliaries or seal flush plan) mounted beside or above the coupling or bearing housing. If the seal flush plan and/or auxiliaries are specified to be mounted on the baseplate, the increased length standard baseplate shall be used and the auxiliaries and/or seal flush plan shall be mounted adjacent to the suction nozzle (see Figure 40).			







#### Accessories (contd)

Accessories	Changes or additional points in 12th edition			
Vertical Pump Driver	12 <sup>th</sup> edition: Shaft-to-driver mating face perpendicularity and surface flatness. 0.002 in./ft (0.17mm/m) 11 <sup>th</sup> edition: Shaft-to-driver mating face perpendicularity and surface flatness. 25 μm TIR			
Piping and Appurtenances	12th edition: Piping shall be in accordance with API 614, API <u>682</u> and this standard. API 682 and this standard take precedence in case of conflicts with API 614. 11th edition: Piping shall be in accordance with ISO 10438.			
Instrumentation	12 <sup>th</sup> edition: Accordance with API 614 11 <sup>th</sup> edition: Accordance with ISO 10438			



#### **Pump Performance (Ref 8.3)**

Section	API 610, 11th edition	API 610, 12th edition, difference or additions		
Performance and NPSH test standard	ISO <u>9906, Grade 1. ANSI/HI 1.6</u> - Centrifugal pump, ANSI/HI 2.6 for vertical pump	HI 14.6 (ISO 9906), Grade 1		
Performance test points	<ol> <li>Shutoff (No vibration data)</li> <li>Minimum continuous stable flow</li> <li>Midway between minimum and rated flow</li> <li>Between 95% and 99% of rated flow</li> <li>Between rated flow and 105% of rated flow</li> <li>Maximum allowable flow (end of allowable operating region)</li> </ol>	<ol> <li>Shutoff (no vibration data required)</li> <li>Minimum continuous stable flow</li> <li>Approx halfway between continuous stable flow and minimum preferred operating flow</li> <li>Minimum preferred operating flow</li> <li>Approx. halfway b/w minimum preferred operating flow and rated flow</li> <li>B/w 95 % and 99 % of rated flow and 105 % of rated flow</li> <li>End of preferred operating region if different from the end of the preferred operating region.</li> <li>End of the preferred operating region.</li> <li>For units with BEP less than 11 m3/h, Point 3) and Point 5) are not required.</li> </ol>		



Section	API 610, 11th edition	API 610, 12th edition, <u>difference</u> or additions		
Site performance recommendations ( <i>Ref 6.1.34</i> )		Many factors can adversely affect site performance. These factors include such items as piping loads, alignment at operating conditions, supporting structure, handling during shipment, and handling and assembly at the site. If specified, the vendor's representative shall witness: a) a check of the piping alignment performed by unfastening the major flanged connections of the <u>equipment</u> ; b) the initial shaft alignment check at ambient <u>conditions</u> ; c) shaft alignment at operating temperature, <u>i.e.</u> hot alignment check		
Vertical suspended pumps <i>(Ref 9.3.2)</i>		Detail added on suction barrel design (flat, elliptical), fabrication and NDE (RXE) as well as definition of what constitutes pressure casing.		



#### Annexes

- Material of Construction (Annexure G and H)
  - Material class I-1, I-2, S-1, and S-3 are completely removed from the selection in annexure H. Minimum material class is now S4. Few minor changes in the material class S.
  - ✓ List of services and operating temperature are also changed in annexure G.
  - ✓ Material class selection for few services is changed as per the annexure G.
- API Data sheet (Annexure. N)
  - ✓ API data sheet in the 12<sup>th</sup> edition is almost the same as 11<sup>th</sup> edition, except minor lay out and cosmetic changes.
  - Data list is provided after the datasheet annexure, covers all the terms used in the datasheet with its reference page number on this API standard to get additional clarification.
  - ✓ Format of project data sheet is provided to record and communicate on site design data and utility condition.
- Contract documents and Engineering data (Annexure. I)
  - <u>Vendors</u> data requirement such as contract data, proposal, Drawings and technical data, recommended spares data, manuals etc. is part of the section 10 of API 610, 11<sup>th</sup> edition. whereas in 12<sup>th</sup> edition it is covered under the annexure L, Contract documents and Engineering design data.



#### **RP Full Engineering Review**

The above has been, as I said at the outset of this presentation, *not* an exhaustive review of the differences between 11<sup>th</sup> & 12<sup>th</sup> editions.

Key features and major changes to 12<sup>th</sup> edition, have been highlighted in this write up with the objective to support pump professionals who already know about the API 610, 11<sup>th</sup> edition, with quick overview.

RP Engineering Dept has carried out an in-depth review to ensure that our pumps will be fully compliant with the revised and new requirements.

This is an extensive very detailed document and is, of course, confidential so regrettably I cannot share it with you.

On the next slide is an extract – 1 page – to give you an indication of the depth to which all pump manufacturers will be going to ensure compliance



#### **RP Full Engineering Review (Extract)**

API 610 11th vs API 12th Comparison						
	Section					
Торіс	Number	Paragraph	New Value/ Consideration	API 610 11th	<b>Reference Standards</b>	Comments
Basic Design	6	6.1.1	20-year service life has been excluded. Field proven requirement added.	20 years		
Basic Design	6	6.1.2	Components designed for finite life.	NA		
Basic Design	6	6.1.3.1	Purchaser shall specify if equipment is to be supplied in accordance with API 691 - Risk Based Machinery Management	NA	RP 691	
Basic Design	6	6.1.3.2	Requirement for API RP 691. When 6.1.3.1 is specified, Vendors to advise which components are not "Field Qualified"	NA	RP 691	
Basic Design	6	6.1.9	More explanation added about NPSH at 3% head loss (NPSH3) requirement.	NA		
Basic Design	6	6.1.10	More explanation added about NPSHA requirement.	NA		
Basic Design	6	6.1.11	Provision for limit on pump suction-specific speed to be specified	NA		
Basic Design	6	6.1.12	ISO/TR 17766 discarded. ANSI/HI 9.6.7 added.	ISO/TR 17766	ANSI/HI 9.6.7	
Basic Design	6	6.1.13 c)	c) has been added regarding head values in parallel operation.	NA		within 3% of each other
Basic Design	6	6.1.14	Advise if orifice used to ensure continuous rising curve	NA		
Basic Design	6	6.1.20	Formula to calculate clearance has been clearly defined, brackets added.	Without brackets		
Basic Design	6	6.1.27	Minimum temperature criteria added.	NA		
Basic Design	6	6.1.29	More clarity added on electrical classification.	NA		
Basic Design	6	6.1.34	Provision for Witnessing by vendor of factors that can affect site performance.	NA		
Basic Design	6	6.1.37.1	Details of threading shall conform to ASME B1.1, ASME B1.13M, or ISO 261.	ISO 262, ISO 724 and ISO 965		
Basic Design	6	6.1.37.2	Threads shall be UNC for sizes up to 1 in. and 8 UN for sizes greater than 1 in.	NA		
MAWP	6	6.3.9	MAWP of pressure casing for HPRT shall be at least equal to the minimum inlet pressure or the minimum MAWP, whichever is greater.	NA		
Radially Split	6	6.3.12.d	Radially split casing shall be used for services with liquid temperature transients greater than 100 °F (55 °C)	NA		Additional
Radially Split	6	6.3.12.e	Radially split casing shall be used for services with liquid temperature transients which cause metal temperature change rates greater than 5 °F (3 °C) per minute.	NA		Additional
Radially Split	6	6.3.21	If specified, the main casing joint studs and nuts shall be designed for the use of hydraulic bolt tensioning.	NA		
Flanges	6	6.4.2.2.1	Tolerances for flanges OD is specified.	NA	EN 1092-1, Table 22	
Drain	6	6.4.3.7	IOM Must indicate all sections of the casing that can not be drained through the drain connection	N/A		
Auxiliary Connec	6	6.4.3.9	Requirements for socket-welded construction added.	NA		1.5mm gap
Forces and mome	6	6.5	No changes.			
Rotors	6	6.6.9	Shaft shall be single-piece construction.	NA		
Wear Rings	6	6.7.4	Requirements for nonmetallic wear rings added.	NA		
<b>Torsional Analysi</b>	6	6.9.2.1	Figure 29 (Torsional Analysis Decision making Flow Chart) has been updated.	Fig 29		

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#### What API610 Got Wrong!

- 6.1.12 Pumps shall have a preferred operating region of 70 % to 120 % of best efficiency flowrate of the pump as furnished. Rated flow shall be within the region of 80 % to 110 % of the best efficiency flowrate of the pump as furnished.
- The purpose of it is to get the pump operation close to BEP, where reliability of the pump has been demonstrated to be significantly better and I have no problem with that.
- Here is the central problem. BEP isn't a single flowrate but rather a range of flowrates that varies depending on how much the pump impeller is trimmed. The example below illustrates the point on a real pump.

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#### **Case Study**

- An inexperienced engineer would be tempted to say "That's an acceptable compliant selection"
  - Head rise 122%
  - 85% of BEP Flow
  - Reasonable efficiency 76.5%
- BUT HE/SHE WOULD BE WRONG





#### **Case Study**



- Shockless Flow •
  - This is the flow rate at which the flow \_ into the impeller impinges on the inlet vanes at the optimum angle. It is normally close to the BEP Flow of the full diameter impeller.
  - Trimming the impeller has no impact \_ on the shockless flow rate.
  - Onset of Suction Recirculation is \_ generally around 40-60% of the Shockless Flow rate
  - So the Rated Duty Point might be — 85% of BEP Flow for the trimmed impeller but it is at 65% of the Shockless Flow
- This selection is a train wreck waiting to happen



# Suction Recirculation



Recirculation vortices at impeller suction eye and at vane tips (source: Pump Handbook, Igor J. Karassik and Joseph P. Messina; ISBN-10 0070333025).

#### What API 610 Got Right!!

- 6.1.13 If parallel operation is specified and the pumps are not individually flow controlled, the following is required:
  - a) the pump head curves shall be continuously rising to shutoff;
  - b) the head rise from rated point to shutoff shall be at least 10 %;
  - *c) the head values of the pumps at any given flow within the preferred operating range shall be within 3 % of each other for pumps larger than 3 in. (80 mm) discharge.*

Here is why this is so important.

API Table 16 allows Performance Tolerances +/-3% at rated flow +/- 5%, 8% or 10% (depending on head) at shutoff.

So without this change two "identical" pumps could easily have a "stronger pump" operating in parallel with a "weaker pump" as illustrated below.

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#### What API 610 Got Right!!

The resulting combined Pump A+B parallel curve is discontinuous due to the mismatching of the two pumps. This exhibits itself as a step at around 1000 USGPM. (Below that point Pump B would operate at zero flow resulting in rapid failure).

In this scenario Pump A being stronger will force Pump B to operate back on its curve. If the system is operated at its Parallel Normal Flow, **Pump B will be running at around only 50% of BEP**. This is well outside the preferred operating range and will result in Pump B seeing higher wear and ultimately needing repair *much* sooner.

Whether the pump has a HRSO of 9%, 10% or 11% doesn't matter so much. A 10% minimum HRSO helps to reduce the necessary matching accuracy a little but the end result is still much the same.

(Source – Simon Bradshaw, Director Engineering, CIRCOR)





#### **Coming Attractions**

"The Impact of Curve Shape, Head-Rise to Shutoff and "Zero Tolerances" on Equipment Selection, Reliability, & Pricing"

#### Thurs 20<sup>th</sup> May – 08.00 & 17.00 (UK BST)

Aimed at Process and Mechanical Engineers and Consultant Engineers specifying pumping equipment. The (wrong) assumption that pumps have a 10-15% head rise to shutoff can lead to the oversizing of pump and motor equipment and subsequent poor performance in the field. This presentation will help engineers to specify the right pump for the application.

Future subjects in preparation include:

- "Selecting the right pump for the application" (when to transition from an OH2 to a BB2, when to consider VS6 etc etc)
- "The importance of using System Curves on successful pump operation"

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